

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO.

10/24/03 2.1-20305

SERIAL NO.

10/283,857

Stephen R. Kay

FILING

10/24/03

GROUP

2837

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	3,629,480	04/10/1970	Harris	84	1	
AB	4,122,743	10/31/1978	Tomihawa et al.	84	1	
AC	4,181,059	01/01/1980	Weber	84	1	
AD	4,198,892	04/22/1980	Gross	84	1	
AE	4,208,938	06/24/1980	Kondo	84	1	
AF	4,217,804	08/19/1980	Yamaga et al.	84	1	
AG	4,307,644	12/29/1981	Aoki	84	1	
AH	4,399,731	08/23/1983	Aoki	84	1	
AI	4,526,078	07/02/1983	Chadabe	84	1	
AJ	4,708,846	11/24/1987	Kozuki	84	1	
AK	4,901,616	02/20/1990	Matsubara et al.	84	1	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

AR	
AS	

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**INFORMATION DISCLOSURE CITATION**  
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UTILITY DOCKET NO.

1422MA 3.1-20302

SERIAL NO.

10/697,857

Stephen R. Kay

FILING

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2837

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLANG DATE IF APPROPRIATE
JM	BA	4,915,007	04/18/1990	Wachi et al.	84	82	
JM	BB	4,930,390	06/05/1990	Kellogg et al.	84	611	
JM	BC	4,969,384	11/13/1990	Kawasaki et al.	84	612	
JM	BD	4,998,960	03/12/1991	Rose et al.	84	662	
JM	BE	5,099,738	03/31/1992	Hots	84	617	
JM	BF	5,136,914	08/11/1992	Letts et al.	84	619	
JM	BG	5,146,833	09/15/1992	Lui	84	462	
JM	BH	5,248,842	09/28/1993	Saito	84	602	
JM	BI	5,281,754	01/25/1994	Farrett et al.	84	609	
JM	BJ	5,357,048	10/18/1994	Sgroi	84	645	
JM	BK	5,375,501	12/27/1994	Okuda	84	611	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BL							
	BM							
	BN							
	BO							
	BP							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	BR	
	BS	

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(Use several sheets if necessary)

ATTY DOCKET NO.

14Amp 3.1203 US

SERIAL NO.

10/692,857

Stephen R. Kay

FILING

10/24/02

GROUP

2837

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JP</i>	CA	5,451,709	09/19/1995	Minamitaka	84	609	
<i>JP</i>	CB	5,488,196	01/30/1996	Zimmerman et al.	84	612	
<i>JP</i>	CC	5,495,072	02/27/1996	Kumagi	84	601	
<i>JP</i>	CD	5,496,962	03/05/1996	Meier et al.	84	601	
<i>JP</i>	CE	5,502,274	03/26/1996	Hotz	84	613	
<i>JP</i>	CF	5,512,704	04/30/1996	Adachi	84	605	
<i>JP</i>	CG	5,565,640	10/15/1996	Hasebe	84	612	
<i>JP</i>	CH	5,612,501	03/18/1997	Kondo et al.	84	637	
<i>JP</i>	CI	5,619,003	04/08/1997	Hotz	84	615	
<i>JP</i>	CJ	5,627,335	05/06/1997	Rigopoulos et al.	84	635	
<i>JP</i>	CK	5,714,705	02/03/1998	Kishimoto et al.	84	651	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	CL							
	CM							
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<i>JP</i>	CS	Overture Reference Manual Software Reference Guide, Simpson, Gregory R. 1994
<i>JP</i>	CS	MPD Reference Manual for Vision and Studio Vision Pro, Version 4.5 Opac Systems Inc., Opacole Part No. 110-0204-m07, 1999.

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# INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

12A0779 3,1-20303

SERIAL NO.

10/653,857

Stephen R. Kay

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10/24/03

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLING DATE IF APPROPRIATE
JP	DA	5,726,374	03/10/1998	Vandervoort	84	638	
JP	DB	5,763,804	06/09/1998	Rigopoulos et al.	84	635	
JP	DC	5,864,079	01/26/1999	Matsuda	84	619	
JP	DD	5,883,325	03/16/1999	Pierce	84	615	
JP	DE	5,159,141	10/1992	Iba	84	619	
JP	DF	5,739,453	04/1998	Chihana et al.	84	619	
JP	DG	5,396,828	3/1995	Farnoud	84	462	
JP	DH	5,852,351	12/1998	Sa, et. al.	84	645	
JP	DI	5,880,392	3/1995	Wassal, et al.	84	622	
JP	DJ	5,900,567	8/5/1999	Fay, et. al.	84	618	
JP	DK	5,160,795	1/1992	Tsuka et al.	84	653	

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							YES	NO
	DL							
	DM							
	DN							
	DO							
	DP							

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JP	DR	M and Jan Factory, David Zicarelli, Computer Music Journal, Vol 11, No 4, Winter 1987
JP	DS	M-The Intelligent Composing and Performing System, Software Operator's Manual, David Zicarelli, et al., Version 2.5, August 1997

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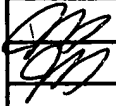
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P. 5 of 6

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. KARMA 3.1-003US	Serial No. 10/693,857
INFORMATION CITED BY APPLICANT  (Use several sheets if necessary)		Applicant Stephen R. Kay	
		Filing Date 10/24/03	Group 2837

U.S. Patent Documents						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	4,399,731	08/23/83	Aoki	84	1.03	
	5,496,962	03/05/96	Meler, et al.	84	601	

Foreign Patent Documents						
Document Number	Date	Country	Class	Subclass	Translation	
WO9624422 A	8/15/1996	PCT	A63H			

Other Prior Art (including Author, Title, Date, Pertinent Pages, etc.)	
Examiner	Date Considered
<i>J. Dmuls</i>	<i>5/24/05</i>